

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re U.S. Patent Application of**

**USUI et al**

O I Application Number: 09/961,399

Filed: February 2, 2001  
NOV 28 2001

For: SPEAKING SYSTEM USING PICTURES AND  
METHOD THEREOF

**Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231**

**COVER LETTER**

Sir:

[x] The fee for submission of additional claims is calculated as shown below:

FOR	TOTAL WITH NEW CLAIMS ADDED	TOTAL CURRENTLY ON FILE	CLAIMS PAID	RATE	CALCULATION
Total Claims	36	-0	-16 (Over 20)	x \$18	288.00
Independent Claims	7	-0	-4 (Over 3)	x \$84	336.00
MULTIPLE DEPENDENT CLAIM(S)				+ \$280	.00
Basic fee					740.00
REDUCTION FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28).					x ½      682.00
Late Declaration fee (small entity)					65.00
Translation of Specification fee					130.00
					<b>TOTAL</b> 877.00

In addition, the below-identified communications are submitted in the above-captioned application or proceeding:

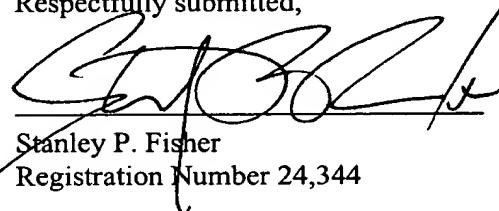
<input checked="" type="checkbox"/> Response to Missing parts with executed Declaration	<input type="checkbox"/> Petition for Extension of Time
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer
<input checked="" type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Letter to Draftsperson
<input checked="" type="checkbox"/> Verified Translation	<input type="checkbox"/> Assignment
<input checked="" type="checkbox"/> Check for \$877.00	<input type="checkbox"/> Petition under _____
	<input type="checkbox"/> Small entity status

[ ] Please charge my Deposit Account Number \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the fees for  
\_\_\_\_\_. A duplicate copy of this paper is enclosed.

[ ] A check in the amount of \$ 877.00 to cover the fee is enclosed.

[x] The Commissioner is hereby authorized to charge any additional fees associated with this communication,  
including patent application filing fees and processing fees under 37 C.F.R. § 1.16 and 1.17, or credit any  
overpayment to Deposit Account Number 08-1480.

Respectfully submitted,



Stanley P. Fisher  
Registration Number 24,344

JUAN CARLOS A. MARQUEZ  
Registration No. 34,072

**REED SMITH HAZEL & THOMAS LLP**  
3110 Fairview Park Drive  
Suite 1400  
Falls Church, Virginia 22042  
(703) 641-4200

**November 28, 2001**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

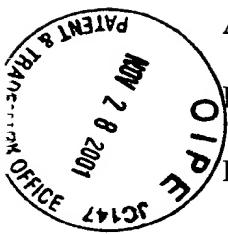
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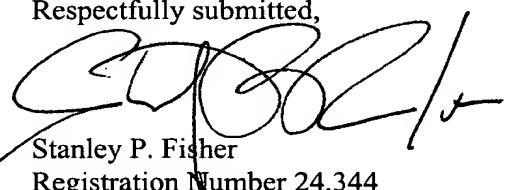
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

This is in response to the Notice of Missing Parts dated October 22, 2001, of the Initial Patent Examination Division of the Patent and Trademark Office, the period for response to which is set to expire on December 22, 2001. Submitted herewith is a verified English translation of the specification for the above-captioned application, a Preliminary Amendment and the executed Declaration

The fee of \$130.00 for this response is enclosed, along with the other fees totaling \$877.00 per the cover sheet attached.

Respectfully submitted,

  
Stanley P. Fisher  
Registration Number 24,344

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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/961,399	09/25/2001	Tatsuo Usui	TESJ.0038

**REED SMITH HAZEL & THOMAS LLP**  
 Suite 1400  
 3110 Fairview Park Drive  
 Falls Church, VA 22042

**CONFIRMATION NO. 5519**  
**FORMALITIES LETTER**



\*OC00000006943847\*

Date Mailed: 10/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 550.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);